

DATE: January 19, 2019

CIRCULARS

RBI

- No New Circular

NSE

- Adjustment of Futures and Options contracts in the security KPIT Technologies Limited (KPIT)
- Revised scheme - Offer for Sale through Exchange Platform
- Discontinuation as Market Maker for Debock Sales and Marketing Limited (DSML)
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Cyber Security Advisory
- Applicability of Additional Surveillance Measure (ASM)
- Contract Specifications in Commodity Derivatives Segment - Additional Delivery Centres
- SEBI Order in the matter of Ficus securities Pvt. Ltd & Ficus Commodities Pvt. Ltd. & Ors.
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Unique Identifier for Algorithms
- Change in Underlying Name and Symbol
- FATF Statements dated October 19, 2018
- Uniform membership structure across segments

BSE

- [Applicability of Short Term Additional Surveillance Measure \(ST-ASM\)](#)
- [Applicability of Additional Surveillance Measure](#)
- Admission of Trading Member in Currency Derivatives Segment.
- [Applicability of Additional Surveillance Measure](#)
- [Applicability of Short Term Additional Surveillance Measure \(ST-ASM\)](#)
- [Applicability of Additional Surveillance Measure](#)
- [FATF Statements Dated October 19, 2018](#)
- [Master Circular – Surveillance \(Equity Segment\)](#)
- [Master Circular – Surveillance \(Currency Derivatives and IRD\)](#)
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- [Applicability of Short Term Additional Surveillance Measure \(ST-ASM\)](#)
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ICEX

- No New Circular

MCX

- [MCX File Formats \(Version 17.4.3\)](#)
- [Revision in Extreme Loss Margin \(ELM\)](#)
- [Dormant Client](#)
- [Committees at Market Infrastructure Institutions \(MIIs\)](#)
- [Committees at Market Infrastructure Institutions \(MIIs\)](#)
- [Defaulting clients as per SEBI circular dated July 11, 2017](#)
- [Revision in Margin Period of Risk \(MPOR\) for CPO, Gold Guinea, Rubber and Cardamom](#)
- [Commencement of Futures Trading in Cardamom June 2019 Contract](#)

- [Financial Action Task Force \(FATF\) Statement and Guidance for Risk Based Approach for Securities Sector – October 2018](#)
- [Reporting for Artificial Intelligence \(AI\) and Machine Learning \(ML\) applications and systems offered and used by market intermediaries](#)
- [Revised List of Approved Securities, Approved Commodities and Approved Banks](#)

SEBI

- [Adjudication Order in respect of Mr. Babu Joseph in the matter of M/s Inditrade Capital Ltd\(formerly known as M/s JRG Securities Ltd\)](#)
- [Adjudication Order in respect of Mr.Kunju Krishnan Gireesan in the matter of M/s Inditrade Capital Ltd\(formerly known as M/s JRG Securities Ltd\)](#)
- [Adjudication Order in respect of Mr. Subair P in the matter of M/s Inditrade Capital Ltd\(formerly known as M/s JRG Securities Ltd\)](#)
- [Adjudication Order in the matter of Fake Contract Notes](#)
- [Adjudication Order in respect of Dinesh Dalmia in the matter of DSQ Software Ltd.](#)
- [Adjudicating Order in respect of Tejpal Ambalal Shah in the matter of dealings in illiquid stocks options at BSE](#)
- [Adjudication order in respect of Paramount Printpackaging Limited in the matter of Sharepro Services \(I\) Private Limited](#)
- [SEBI \(Foreign Portfolio Investors\) Regulations, 2014 \[Last amended on December 31, 2018\]](#)
- [Adjudication order in respect of Rajesh Malleables Limited in the matter of Sharepro Services \(I\) Private Limited](#)
- [Adjudication Order in respect of Jodhraj Finassist Private Limited in the matter of Dealing in Illiquid options on the BSE.](#)
- [Order in the matter of Saurashtra Cement Ltd. – In respect of Fawn Trading Company Private Limited, Willow Trading Company Private Limited , Pallor Trading Company](#)

Private Limited, Fern Trading Company Private Limited, Tejashree Trading Company Private Limited”.

- Confirmatory Order in the matter of Ficus securities Pvt. Ltd & Ficus Commodities Pvt. Ltd. & Ors
- Adjudication order in respect of M/s Pratik Steelcasting Private Limited in the matter of illiquid Stock Options at BSE
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- Norms for investment and disclosure by mutual funds in derivatives
- Settlement Order in respect of JMP Securities Pvt. Ltd
- Summary Settlement Order in respect of Mr. Prabhat Kumar Haldar in the matter of Haldar Venture Limited
- Adjudication Order dated January 16, 2019, in respect of M/s. Gladiator Vyapaar Private Limited in the matter of Its dealings in Illiquid Stock Options at BSE
- Adjudication Order in respect of R. Srikanth in the matter of Polaris Software Lab Limited
- Adjudication order in respect of Lalit Loya in the matter of Sanjay Dangi, his associates and other entities in Murli Industries Ltd. and other scrips
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- Adjudication order in respect of Sukhram Prajapat in the matter of Sanjay Dangi, his associates and other entities in Murli Industries Ltd. and other scrips
- Adjudication order in respect of Chenaram C Rar in the matter of Sanjay Dangi, his associates and other entities in Murli Industries Ltd. and other scrips
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